

**FEE TRANSMITTAL FOR FY 2005**

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**TOTAL AMOUNT OF PAYMENT (\$) 180.00**

**Complete if Known:**

**Application No.** 09/921,199

**Filing Date** August 1, 2001

**First Named Inventor** Hofrichter, et al.

**Examiner Name** Not Yet Assigned

**Art Unit** 3629

Attorney Docket No. 080398.P455

**Applicant claims small entity status. See 37 CFR 1.27.**

**METHOD OF PAYMENT** (check all that apply)

  X   **Check**             **Credit Card**             **Money Order**             **None**      **Other (please identify)**

**Deposit Account**

**Deposit Account Number : 02-2666**

**Deposit Account Name:**

**X** The Director is Authorized to do the following with respect to the above-identified Deposit Account:

**Charge fee(s) indicated below.**

|          |   |
|----------|---|
| <b>X</b> | Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application. |
|----------|---|

**Charge fee(s) indicated below except for the filing fee**

|          |                                 |
|----------|---------------------------------|
| <b>X</b> | <b>Credit any overpayments.</b> |
|----------|---------------------------------|

    X     Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

**Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

## FEE CALCULATION

## 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

| Large Entity |          | Small Entity |          | Fee Description                    |             | Fees Paid (\$) |
|--------------|----------|--------------|----------|------------------------------------|-------------|----------------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                                    |             |                |
| 1011         | 300      | 2011         | 150      | Utility application filing fee     | } 1,000/500 | _____          |
| 1111         | 500      | 2111         | 250      | Utility search fee                 |             | _____          |
| 1311         | 200      | 2311         | 100      | Utility examination fee            |             | _____          |
| 1012         | 200      | 2012         | 100      | Design application filing fee      | } 430/215   | _____          |
| 1112         | 100      | 2112         | 50       | Design search fee                  |             | _____          |
| 1312         | 130      | 2312         | 65       | Design examination fee             |             | _____          |
| 1013         | 200      | 2013         | 100      | Plant filing fee                   | } 660/330   | _____          |
| 1113         | 300      | 2113         | 150      | Plant search fee                   |             | _____          |
| 1313         | 160      | 2313         | 80       | Plant examination fee              |             | _____          |
| 1004         | 300      | 2004         | 150      | Reissue filing fee                 | } 1,400/700 | _____          |
| 1114         | 500      | 2114         | 250      | Reissue search fee                 |             | _____          |
| 1314         | 600      | 2314         | 300      | Reissue examination fee            |             | _____          |
| 1005         | 200      | 2005         | 100      | Provisional application filing fee |             | _____          |
| SUBTOTAL (1) |          |              |          |                                    |             | \$ 0.00        |

**2. EXCESS CLAIM FEES**

|   |                          | <u>Extra Claims</u> | <u>Fee from</u><br><u>below</u> | <u>Fees Paid (\$)</u> |
|---|--------------------------|---------------------|---------------------------------|-----------------------|
| <b>Total Claims</b>   | _____ - 20 or HP = _____ |                     | X \$50.00                       | = _____               |
| HP = highest number of total claims paid for, if greater than 20      |                          |                     |                                 |                       |
| <b>Independent Claims</b>   | _____ - 3 or HP = _____  |                     | X \$200.00                      | = _____               |
| HP = highest number of independent claims paid for, if greater than 3 |                          |                     |                                 |                       |
| <b>Multiple Dependent Claims</b>                                      |                          |                     |                                 | = _____               |

| <u>Large Entity</u> |          | <u>Small Entity</u> |          |  |
|---------------------|----------|---------------------|----------|--|
| Fee Code            | Fee (\$) | Fee Code            | Fee (\$) | <u>Fee Description</u>   |
| 1202                | 50       | 2202                | 25       | Each claim over 20   |
| 1201                | 200      | 2201                | 100      | Each independent claim over 3                                    |
| 1203                | 360      | 2203                | 180      | Multiple dependent claims, if not paid                           |
| 1204                | 200      | 2204                | 100      | Reissue: each claim over 20 and more than in the original patent |
| 1205                | 50       | 2205                | 25       | Reissue: each independent claim more than in the original patent |

**SUBTOTAL (2) \$ 0.00**

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| <u>Total Sheets</u> | <u>Extra Sheets</u> | <u>Number of each add'l</u><br><u>50 or fraction thereof</u> | <u>Fee from</u><br><u>below</u> | <u>Fees paid (\$)</u> |
|---------------------|---------------------|--|---------------------------------|-----------------------|
| _____               | - 100 = _____       | / 50 = _____ (round up to whole number)                      | X \$250.00                      | _____                 |

| <u>Large Entity</u> |          | <u>Small Entity</u> |          |                         |
|---------------------|----------|---------------------|----------|-------------------------|
| Fee Code            | Fee (\$) | Fee Code            | Fee (\$) | <u>Fee Description:</u> |
| 1081                | 250      | 2081                | 125      | Utility                 |
| 1082                | 250      | 2082                | 125      | Design                  |
| 1083                | 250      | 2083                | 125      | Plant                   |
| 1084                | 250      | 2084                | 125      | Reissue                 |

**SUBTOTAL (3) \$ 0.00**

**FEE CALCULATION (continued)****4. OTHER FEE(S)**

|   |        |                     |        | <u>Fees Paid (\$)</u>  |          |
|---|--------|---------------------|--------|--|----------|
| Non-English Specification, \$130 fee (no small entity discount) |        |                     |        |  |          |
| <u>Large Entity</u>   |        | <u>Small Entity</u> |        |  |          |
| Fee   | Fee    | Fee                 | Fee    |  |          |
| Code  | (\$)   | Code                | (\$)   | Fee Description  |          |
| 1051  | 130    | 2051                | 65     | Surcharge - late filing fee or oath  |          |
| 1052  | 50     | 2052                | 25     | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053  | 130    | 1053                | 130    | Non-English specification  |          |
| 1812  | 2,520  | 1812                | 2,520  | For filing a request for ex parte reexamination                            |          |
| 1813  | 8,800  | 1813                | 8,800  | Request for inter parties reexamination                                    |          |
| 1804  | 920*   | 1804                | 920*   | Requesting publication of SIR prior to Examiner action                     |          |
| 1805  | 1,840* | 1805                | 1,840* | Requesting publication of SIR after Examiner action                        |          |
| 1251  | 120    | 2251                | 60     | Extension for reply within first month                                     |          |
| 1252  | 450    | 2252                | 225    | Extension for reply within second month                                    |          |
| 1253  | 1,020  | 2253                | 510    | Extension for reply within third month                                     |          |
| 1254  | 1,590  | 2254                | 795    | Extension for reply within fourth month                                    |          |
| 1255  | 2,160  | 2255                | 1,080  | Extension for reply within fifth month                                     |          |
| 1401  | 500    | 2401                | 250    | Notice of Appeal   |          |
| 1402  | 500    | 2402                | 250    | Filing a brief in support of an appeal                                     |          |
| 1403  | 1,000  | 2403                | 500    | Request for oral hearing   |          |
| 1451  | 1,510  | 1451                | 1,510  | Petition to institute a public use proceeding                              |          |
| 1452  | 500    | 2452                | 250    | Petition to revive - unavoidable   |          |
| 1453  | 1,500  | 2453                | 750    | Petition to revive - unintentional   |          |
| 1501  | 1,400  | 2501                | 700    | Utility issue fee (or reissue)   |          |
| 1502  | 800    | 2502                | 400    | Design issue fee   |          |
| 1503  | 1100   | 2503                | 550    | Plant issue fee  |          |
| 1462  | 400    | 1462                | 400    | Petitions to the Commissioner (CFR 1.17(f) Group I)                        |          |
| 1463  | 200    | 1463                | 200    | Petitions to the Commissioner (CFR 1.17(g) Group II)                       |          |
| 1464  | 130    | 1464                | 130    | Petitions to the Commissioner (CFR 1.17(h) Group III)                      |          |
| 1807  | 50     | 1807                | 50     | Processing fee under 37 CFR 1.17(q)  |          |
| 1806  | 180    | 1806                | 180    | Submission of Information Disclosure Stmt                                  | \$180.00 |
| 8021  | 40     | 8021                | 40     | Recording each patent assignment per property (times number of properties) |          |
| 1809  | 790    | 2809                | 395    | For filing a submission after final rejection (see 37 CFR 1.129(a))        |          |
| 1814  | 130    | 2814                | 65     | Statutory Disclaimer   |          |
| 1810  | 790    | 2810                | 395    | For each additional invention to be examined (see 37 CFR 1.129(b))         |          |
| 1801  | 790    | 2801                | 395    | Request for Continued Examination (RCE)                                    |          |
| 1802  | 900    | 1802                | 900    | Request for expedited examination of a design application                  |          |
| 1504  | 300    | 1504                | 300    | Publication fee for early, voluntary, or normal pub.                       |          |
| 1505  | 300    | 1505                | 300    | Publication fee for republication  |          |
| 1803  | 130    | 1803                | 130    | Request for voluntary publication or republication                         |          |
| 1808  | 130    | 1808                | 130    | Processing fee under 37 CFR 1.17(i) (except provisionals)                  |          |
| 1454  | 1,370  | 1454                | 1,370  | Acceptance of unintentionally delayed claim for priority                   |          |
| Other fee (specify) _____                                       |        |                     |        |  |          |
| Other fee (specify) _____                                       |        |                     |        |  |          |
|   |        |                     |        | <b>SUBTOTAL (4) \$180.00</b>   |          |

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: Sheryl Sue HollowaySignature: Date: DEC. 29, 2005Reg. Number: 37,850Telephone Number: 408-720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Attorney's Docket No. 080398.P455

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Hofrichter, et al.

Application No.: 09/921,199

Filed: August 1, 2001

For: System and Method For Enabling  
Distribution And Brokering Of Content  
Information

Examiner: Not Yet Assigned

Art Unit: 3629

Mail Stop IDS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on 12.29.05.  
(Date of Deposit)

Carla Anysia Nascimento  
(Typed or printed name of person mailing correspondence)

(Signature of person mailing correspondence)

12.29.05  
(Date)

has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

\_\_\_\_\_ 37 C.F.R. §1.97(b).

  X   37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

\_\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e) or

  X   A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

\_\_\_\_\_ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

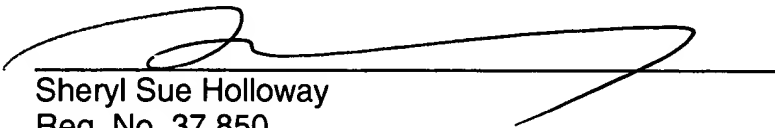
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: Dec. 29, 2005

  
Sheryl Sue Holloway  
Reg. No. 37,850

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025  
(408) 720-8300

*(use as many sheets as necessary)*

JAN 03 2006

# Sheet

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of

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|                        |                    |
|------------------------|--------------------|
| Application Number     | 09/921,199         |
| Filing Date            | August 1, 2001     |
| First Named Inventor:  | Hofrichter, et al. |
| Art Unit               | 3629               |
| Examiner Name          | Not Yet Assigned   |
| Attorney Docket Number | 080398.P455        |

[illegible]

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document  | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns,<br>Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|-----------------------|--------------------------|--|-----------------------------------|--|--|----------------|
|                       |                          | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup><br>(if known) |                                   |  |  |                |
|                       |                          |  |                                   |  |  |                |
|                       |                          |  |                                   |  |  |                |
|                       |                          |  |                                   |  |  |                |

|                       |  |                 |  |
|-----------------------|--|-----------------|--|
| Examiner<br>Signature |  | Date Considered |  |
|-----------------------|--|-----------------|--|

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.** **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**